

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

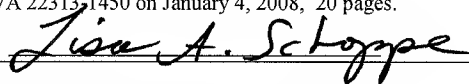
In re the application of: Kam, Kendrick W.	)	Attorney Docket No. <b>26592-004US</b>
	)	
Serial No.: <b>09/836,694</b>	)	Group Art Unit: 3694
	)	
Filed: April 17, 2001	)	Examiner: Abdul Basit
	)	Telephone: 571-272-7246
	)	
Title: Internet-Based System for Identification,	)	Date of E-Filing: January 04, 2008
Measurement and Ranking of Investment	)	
Portfolio Management, and Operation of a Fund	)	
Supermarket, including "Best Investor"	)	
Managed Funds	)	

Certification under 37 CFR 1.8

I hereby certify that the correspondence is being filed electronically with the United States Patent and Trademark Office via EFS Web to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 4, 2008, 20 pages.

Name: Lisa A. Schoppe

Signature:



**Response to Notice of Non-Compliant Amendment**  
**Re-Submission of Full Set of Claims, Including Claim 86**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**Introduction:**

This is Applicant's Response to the Notice of Non-Compliant Amendment issued by the PTO on December 7, 2007 with respect to Applicant's Response to the first substantive Office Action dated July 5, 2007, originally timely filed on December 5, 2007 pursuant to a 2-month extension of time, the fee for which, \$230 has been paid on Dec 7, 2007 by authorization to debit undersigned Counsel's Deposit Account 04-1699.

The Notice stated that "Claim 86 is needed in Order to Enter this Amendment [The Response of 12-07-2007]". On December 10, undersigned counsel called The Legal Instruments Examiner theresa Dawkins at 571-272-1567 to inquire about the best avenue for response and to point out that the Amendment filed on Dec 7, 2007 was in fact fully compliant, in that there was no claim 86 ever in the case. Counsel has not had the courtesy of a call back. The call was repeated on January 4, 2008 to both Ms. Dawkins and to Examiner Basit. Neither answered their phones so a message was left.

Pursuant to 37 CFR 1.121 and the Time Period section of the Notice, part 2, Applicant submits herewith in this Response to Notice, only Sections 1 and 2 of the Response of Dec 7,

2007 with an additional claim 86. Since the original filing on April 17, 2001 included the fee payment for the originally non-existent claim 86, no additional fee for that new claim is required. No additional extension fee is required as the due date for compliance to the Notice is January 7, 2008.

5           However, in the event a fee is required for this Response to the Notice, please debit any additional sum needed, or credit any over-payment to Deposit Account 04-1699, and please identify the transaction with Docket No. 26592-004US to facilitate tracking in Counsel's Office.

10       **End of Part 1, Introduction**